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Date: November 28, 2000

Docket No.: 3033-0155P

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): SULLIVAN, Anthony F.

For: RISK CONTROL OPTIMIZER ALGORITHM

Enclosed are:

- ☒ A specification consisting of 58 pages
- ☒ 08 sheet(s) of formal drawings
- ☒ An assignment of the invention
- ☐ Certified copy of Priority Document(s)
- ☒ Executed Declaration ☒ Original ☐ Photocopy
- ☐ Applicant claims small entity status in accordance with 37 CFR 1.27
- ☐ Application Data Sheet in accordance with 37 C.F.R. 1.76
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement, PTO-1449 and reference(s)



Other \_\_\_\_\_

Applicant requests early publication

The filing fee has been calculated as shown below:

LARGE ENTITY				SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE FEE		RATE FEE
BASIC FEE	*****	*****	*****		****
	*****	*****	***** \$710.00	or	**** \$355.00
	*****	*****	*****		****
TOTAL CLAIMS	24 - 20 =	4	x18 =\$ 72.00	or	x 9 = \$ 0.00
INDEPENDENT	2 - 3 =	0	x80 =\$ 0.00	or	x 40 = \$ 0.00
MULTIPLE DEPENDENT CLAIM PRESENTED <u>no</u>			+270 = \$ 0.00	or	+135 = \$ 0.00
TOTAL \$ 782.00				TOTAL \$ 0.00	

X A check in the amount of \$ 822.00 to cover the filing fee and recording fee (if applicable) is enclosed.

\_\_\_\_\_ Please charge Deposit Account No. 02-2448 in the amount of \$ \_\_\_\_\_. A triplicate copy of this transmittal form is enclosed.

\_\_\_\_\_ No fee is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. 1.16 or under 37 C.F.R. 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH &amp; BIRCH, LLP

By

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